

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Jianxin Bao	Art Unit	1647
Serial No.:	10/550,673	Examiner:	TBA
Filing Date:	September 26, 2005	Conf. No.	1449
For:	NEUREGULIN PROTEIN REGULATION OF SYNAPTIC		

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In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, Applicant submits the attached PTO/SB/08A and B forms for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. Copies of Reference Nos. 30-113 are enclosed.

In addition, this Information Disclosure Statement is being submitted pursuant to 37 C.F.R. §1.97(b) in that Applicant believes that it is being filed prior to the mailing date

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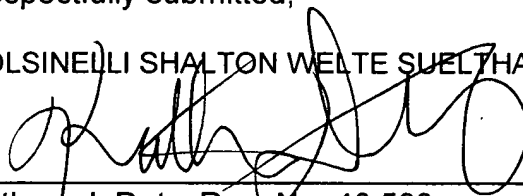
PATENT

Atty Docket No. 104916 (CS0439/US/2)
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of the first Office action on the merits. Accordingly, neither a statement nor fee under 37 C.F.R. §1.97(c) or (d) is required. However, if an Office action was issued prior to the date of mailing of this Information Disclosure Statement, the Commissioner is hereby authorized to charge any required fees regarding this Information Disclosure Statement to Deposit Account 50-1662.

Respectfully submitted,

POLSINEILI SHALTON WELTE SUELTHAUS PC



Date: March 15, 2006

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Applicant(s): Jianxin Bao			104916 (CS0439/US/2)	
Serial No.	Filing Date or 371 Date	Examiner	Group Art Unit	Confirmation No.
10/550,673	September 26, 2005	TBA	1647	1449

Invention: NEUREGULIN PROTEIN REGULATION OF SYNAPTIC PROTEINS

I hereby certify that: an Information Disclosure Statement Letter to the U.S. Patent and Trademark Office (2 pages); Forms PTO/SB/08A and B Information Disclosure Statement by Applicant (6 pages); One (1) copy of Reference Nos. 30-113; Certificate of Mailing by Express Mail (1 page); authorization to charge any fees which may be required or credit any overpayments to Deposit Account No. 50-1662; and a stamped, pre-addressed postcard are being mailed by U.S. Postal Service Express Mail to Addressee: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 15th day of March, 2006.

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		Application Number	10/550,673
		Filing Date	September 26, 2005
		First Named Inventor	Jianxin Bao
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Examiner Name	To be assigned		
Sheet 1	of 6	Attorney Docket Number	104916 (CS0439-01/US/2)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appeal
		Number-Kind Code ² (if known)			
	1	US 5,364,759	11-15-1994	Caskey et al.	
	2	US 5,364,934	11-15-1994	Drayna et al.	
	3	US 5,534,416	07-09-1996	Millard et al.	
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Sheet	2	of	6	Attorney Docket Number	104916 (CS0439-01/US/2)

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ^{5(a)} (known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, columns, lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
	30	WO 91/10734	07-25-1991	HSC Research Development Corp.		
	31	WO 02/14475 A2	02-21-2002	The Trustees of Columbia University in the City of New York		
	32	WO 03/040333 A2	05-15-2003	Albert Einstein College of Medicine of Yeshiva University		

NON-PATENT LITERATURE ARTICLES			
Examiner Initials*	Cite No. ¹	Include name of the authority (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Sheet	3	of	6	Attorney Docket Number	104916 (CS0439-01/US/2)

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Sheet	4	of	6	Attorney Docket Number	104916 (CS0439-01/US/2)

63	GRAY, et al., "Open reading frame cloning: Identification, cloning, and expression of open reading frame DNA", <i>Proc. Natl. Acad. Sci. USA</i> (1982) pp. 6598-6602, Vol. 79.	
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				Application Number	10/550,673
				Filing Date	September 26, 2005
				First Named Inventor	Jianxin Bao
				Art Unit	1647
				Examiner Name	To be assigned
Sheet	6	of	6	Attorney Docket Number	104916 (CS0439-01/US/2)

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